

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of:

Vincent J. Zimmer

Application No.: 09/966,015

Filed: September 27, 2001

For: METHOD FOR PROVIDING SYSTEM  
INTEGRITY AND LEGACY  
ENVIRONMENT EMULATION

Examiner: Proctor, Jason S.

Art Unit: 2123

Mail Stop Amendment  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on January 27, 2006.

(Date of Deposit)

Yuko Tanaka

(Typed or printed name of person mailing correspondence)

Y. Tanaka

(Signature of person mailing correspondence)

01/31/2006 CCHEU1  
 00000007 09966015  
 100.00 OP  
 01 FC:1806

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

- 37 C.F.R. §1.97(b).
- 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e) or
- A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
  - (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated:

Jan. 27, 2006

  
Cory G. Claassen  
Reg. No. 50,296

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025  
(206) 292-8600

**Substitute for Form 1449/PTO**

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

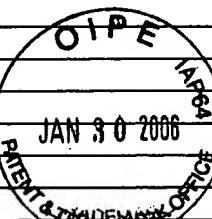
*(use as many sheets as necessary)*

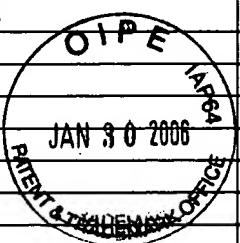
*(use as many sheets as necessary)*

<b>Complete if Known</b>	
Application Number	09/966,015
Filing Date	September 27, 2001
First Named Inventor:	Vincent J. Zimmer
Art Unit	2123
Examiner Name	Proctor, Jason S.

**Sheet** 1 of 2 Attorney Docket Number 42390P11198

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	us- 6,075,938	06-13-2000	Bugnion et al.	
		US- US- US- US- US- US- US- US- US- US- US- US- US- US- US- US- US-			



## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	2	WO 02/052404 A2	07-04-2002	Intel Corporation		

<b>Examiner Signature</b>		<b>Date Considered</b>	
-------------------------------	--	------------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute for Form 1449/PTO

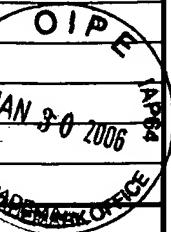
# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Complete if Known**

Application Number	09/966,015
Filing Date	September 27, 2001
First Named Inventor:	Vincent J. Zimmer
Art Unit	2123
Examiner Name	Proctor, Jason S.

Sheet 2 of 2 Attorney Docket Number 42390P11198

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	3	EBICOGLU, K. et al., "IBM Research Report - DAISY: Dynamic Compilation for 100% Architectural Compatibility," Computer Science, 08 May 1996. <a href="http://citeseer.ist.psu.edu/2006.html">http://citeseer.ist.psu.edu/2006.html</a>	
	4	BRACKIN, S. H., "An Interface Specification Language for Automatically Analyzing Cryptographic Protocols," Proceedings., 1997 Symposium on San Diego, CA, USA, 10-11 February 1997, pp. 40-51.	
	5	DEVANBU, P. T. et al., "Techniques for Trusted Software Engineering," Proceedings of the 1998 International Conference on Kyoto, Japan, 19-25 April 1998, pp. 126-135.	
	6	EBCIOGLU, K. et al., "An Eight-Issue Tree-VLIW Processor for Dynamic Binary Translation," 16 September 1998. <a href="http://www.research.ibm.com/vliw/isca31/An%20eight-issue%20tree-VLIW%20processor%20for%20dynamic%20binary%20translation.pdf">http://www.research.ibm.com/vliw/isca31/An%20eight-issue%20tree-VLIW%20processor%20for%20dynamic%20binary%20translation.pdf</a>	
	7	ROBIN, J. S. et al., "Analysis of the Intel Pentium's Ability to Support a Secure Virtual Machine Monitor," 11 June 2000. <a href="http://citeseer.ist.psu.edu/robin00analysis.html">http://citeseer.ist.psu.edu/robin00analysis.html</a>	

Examiner Signature	Date Considered
--------------------	-----------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.